<table>
<thead>
<tr>
<th>Regulatory Purpose</th>
<th>Name</th>
<th>Description</th>
<th>Due Date</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investor Protection</td>
<td>FIDLEG</td>
<td>Financial Services Act - The goal is to harmonize the Swiss laws with the MiFID II requirements under the «Investor Protection» regulatory framework</td>
<td>2018(?)</td>
<td>Still in the legislation process. Still in the legislative process</td>
</tr>
<tr>
<td>Systemic Risk</td>
<td>FINFRAG</td>
<td>Financial Market Infrastructure</td>
<td>1.1.2016</td>
<td>On 29.6.2016 the Federal Council extended the transitional period in one year- from 1.1.2017 to 1.1.2018 in order to harmonize the pre- and post-trade transparency information with the MiFID II timeline</td>
</tr>
<tr>
<td>Financial Stability</td>
<td>FINIG</td>
<td>Financial Institutions Act</td>
<td>1.1.2018</td>
<td>Still in the parliamentary discussion - expected to be in force in 2018</td>
</tr>
<tr>
<td>Anti-Money-Laundering</td>
<td>Money Laundering Ordinance</td>
<td>Revised Money Laundering Ordinance</td>
<td>1.1.2016</td>
<td>In force</td>
</tr>
<tr>
<td></td>
<td>Swiss Bankers Code of Conducts</td>
<td>The Swiss Banks’ code of conduct with regard to the exercise of due diligence (CDB 16)</td>
<td>1.1.2016</td>
<td>In force</td>
</tr>
</tbody>
</table>
MiFID II - Regulatory Framework

MiFID II Requirements & Regulatory Landscape

Transparency

Microstructural Issues

Data Publication and Access

Requirements Applying to Trading Venues

Investor Protection (Best Execution)

Post-Trade Issues

Market Data Reporting

Commodity Derivatives

PRIIP / KIID

UCITS

AIFMD

EMIR

MAR

FINFRAG

FIDLEG

SEC Rules

HK Rules

SG Rules

ESMA

EUROPEAN CENTRAL BANK
EUROSYSTEM

ESMA
Thank you for your attention